



IFW  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8, 2004.

9dP

Debbie Prout

Appl No. : 10/784,048 Confirmation No. 6839  
Applicant : Hidenori Taga, et al.  
Filed : February 19, 2004  
Title : OPTICAL RECEIVING APPARATUS AND METHOD

TC/A.U. : 2633  
Examiner : David Kim

Docket No. : 51884/DBP/T360  
Customer No. : 23363

**REQUEST TO CHANGE DESIGNATION OF APPLICATION**

**OFFICE OF INITIAL PATENT EXAMINATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 8, 2004

Commissioner:

The above-identified application was filed on February 19, 2004, noting that it was a "continuation" of U.S. Application No. 10/460,895, filed June 12, 2003.

Please note that the correct designation of this application should be a "divisional" of U.S. Application No. 10,460,895, filed June 12, 2003.

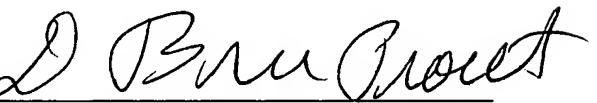
Please update the records of the Patent Office to reflect this change.

RECEIVED  
OPIE/CIA  
SEP 17 2004

**Appln No. 10/784,048  
Amdt date September 8, 2004  
Reply to Office action of**

For your information, the enclosed Preliminary Amendment has been filed, via facsimile, with Examiner Kim.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/djp  
Enclosure  
DJP PAS583382.1--09/8/04 12:12 PM



# **facsimile**

## **TRANSMITTAL**

---

*8 dip*  
Date: September 3, 2004

No. of Pages: 4 (including this cover sheet)

Fax No.: ~~1-571-273-2085~~ 1-703-872-9306

**PLEASE DELIVER THE FOLLOWING PAGES IMMEDIATELY TO:**

Name: Commissioner of Patents

Art Unit: 2633

Examiner: David Kim

Phone: 703-872-9306

From: D. Bruce Prout  
Reg No. 20,958

Re: Application No. 10/784,048; Filed February 19, 2004  
Entitled OPTICAL RECEIVING APPARATUS AND METHOD

File: 51884/DBP/T360

---

I HEREBY CERTIFY THAT THIS PAPER IS BEING FACSIMILE TRANSMITTED TO  
THE UNITED STATES PATENT AND TRADEMARK OFFICE ON September 3, 2004.

*William L. Gaskins*  
Name

\*Correspondence: Preliminary Amendment

*DProut*

*8 dip*

---

For Office Services Use Only  
Return Fax to Word Processing

*DProut*

---

Christie, Parker & Hale, LLP  
350 West Colorado Boulevard  
Post Office Box 7068  
Pasadena, CA 91109-7068  
626-795-9900  
Fax: 626-577-8800

---

**confidential**

*The information in this transmission is confidential and is intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is prohibited. If you have received this communication in error, please notify us immediately by telephone collect, and return the original message to us at the above address via U.S. mail. We will reimburse you for postage. Thank you.*



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on September 3, 2004.*

*8/15/2004*  
Name  
*D. Prost*

Appl No. : 10/784,048 Confirmation No. 6839

Applicant : Hidenori Taga, et al.

Filed : February 19, 2004

Title : OPTICAL RECEIVING APPARATUS AND METHOD

TC/A.U. : 2633

Examiner : David Kim

Docket No. : 51884/DBP/T360

Customer No. : 23363

PRELIMINARY AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 3, 2004

Commissioner:

Prior to examination, please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Remarks/Arguments** begin on page 3 of this paper.

Appln No. 10/784,048  
Amdt date September 3, 2004

8djp

Amendments to the Specification:

Please replace the paragraph after Cross Reference to Related Application(s) as follows:

--This application is a Continuation Division of U.S. application No. 10/460,895 filed June 12, 2003, which is a divisional of U.S. Application No. 09/546,917 filed April 11, 2000, which claims priority of Japanese patent Application No. Heisei 11(1999) 145416, filed May 25, 1999.--

Appln No. 10/784,048  
Am dt date September 3, 2004

*BDP*

REMARKS/ARGUMENTS

Please correct the Office records to reflect that the subject application is a division, not a continuation of Application No. 10/460,895.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/cg  
CLG PASS82835.1-\* 09/3/04 11:40 AM